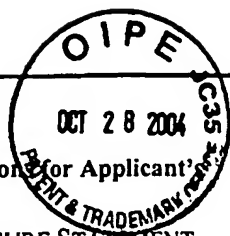


Form PTO-1449 (modified)

List of Patents and Publications for Applicant's

## INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

Atty. Docket No.  
UTSC:776USSerial No.  
10/730,361Applicant  
David Claxton *et al.*Filing Date:  
December 8, 2003Group:  
1616U.S. Patent Documents  
*See Page 1*Foreign Patent Documents  
*See Page 1*Other Art  
*See Page 1*

## U.S. Patent Documents

Exam. Init.	Ref. Des.	Document Number	Date	Name	Class	Sub Class	Filing Date of App.
9/18	A6	5,514,714	5/07/96	Meruelo and Lavie	514	561	3/30/93
9/18	A7	6,444,234	9/03/02	Kirby and Pettersson, Jr.	424	725	5/11/00

## Foreign Patent Documents

Exam. Init.	Ref. Des.	Document Number	Date	Country	Class	Sub Class	Translation Yes/No

## Other Art (Including Author, Title, Date Pertinent Pages, Etc.)

Exam. Init.	Ref. Des.	Citation

25453238.1

EXAMINER:

DATE CONSIDERED:

08/22/05

EXAMINER: INITIAL IF REFERENCE CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED. INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.

INFORMATION DISCLOSURE STATEMENT — PTO-1449 (MODIFIED)

Form PTO-1449 (modified)

Atty. Docket No.  
UTSC:776USSerial No.  
10/730,361

List of Patents and Publications for Applicant's

Applicant  
David Claxton *et al.*

## INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

Filing Date:  
December 8, 2003Group:  
UnknownU.S. Patent Documents  
See Page 1Foreign Patent Documents  
See Page 1Other Art  
See Page 1

## U.S. Patent Documents

Exam. Init.	Ref. Des.	Document Number	Date	Name	Class	Sub Class	Filing Date of App.
SA	A1	5,053,431	10/01/91	Watanabe <i>et al.</i>	514	649	6/26/90
SA	A2	5,316,768	5/31/94	Hughes and Wood	424	433	9/11/92
SA	A3	5,466,455	11/14/95	Huffstutler and Steuart	424	401	9/15/93
SA	A4	6,326,356	12/04/01	Hung <i>et al.</i>	514	44	10/18/96
SA	A5	6,328,988	12/11/01	Uhrich	424	422	10/21/99

## Foreign Patent Documents

Exam. Init.	Ref. Des.	Document Number	Date	Country	Class	Sub Class	Translation Yes/No

## Other Art (Including Author, Title, Date Pertinent Pages, Etc.)

Exam. Init.	Ref. Des.	Citation
*	C1	Chan <i>et al.</i> , "Selective inhibition of the growth of ras-transformed human bronchial epithelial cells by emodin, a protein-tyrosine kinase inhibitor," Biochemical and Biophysical Research Communications, 193(3):1152-1158, 1993.
*	C2	Chang <i>et al.</i> , "Oncogene signal transduction inhibitors from Chinese medicinal plants," Pure App. Chem., 71(6):1101-1104, 1999.
*	C3	Jayasuriya <i>et al.</i> , "Emodin, a protein tyrosine kinase inhibitor from Polygonum cuspidatum," J. Nat. Prod., 55(5):696-698, 1992.
SA	C4	Kaul <i>et al.</i> , "Use of complementary/alternative medical therapies by oncology patients in India," Oncology Forum, 4(3), <a href="http://www.scientific-com.com/oncology/vol4.3/articles/article1.html">http://www.scientific-com.com/oncology/vol4.3/articles/article1.html</a> .
SA	C5	Kupchan and Karim, "Tumor inhibitors. 114. Aloe emodin: antileukemic principle isolated from Rhamnus frangula L," Lloydia, 39(4):223-224, 1976.
SA	C6	Tsai, "Analytical approaches for traditional Chinese medicines exhibiting antieoplastic activity," J. Chromatography, 764:27-48, 2001.

25389040.1

EXAMINER:

DATE CONSIDERED:

08/22/05

EXAMINER: INITIAL IF REFERENCE CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED. INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.

INFORMATION DISCLOSURE STATEMENT — PTO-1449 (MODIFIED)

\* I did not receive these documents. SA

Form PTO-1449 (modified)

List of Patents and Publications for Applicant

## INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

Atty. Docket No.  
UTSC:776USSerial No.  
10/730,361Applicant  
David Claxton *et al.*Filing Date:  
December 8, 2003Group:  
UnknownU.S. Patent Documents  
*See Page 1*Foreign Patent Documents  
*See Page 1*Other Art  
*See Page 1*

## Other Art (Including Author, Title, Date Pertinent Pages, Etc.)

Exam. Init.	Ref. Des.	Citation
SWA	C7	Yeh <i>et al.</i> , "Effects of anthraquinones of <i>Polygonum cuspidatum</i> on HL-60 cells," <i>Planta Med.</i> , 54(5):413-414, 1988.
SWA	C8	Zhang <i>et al.</i> , "Tyrosine kinase inhibitor emodin suppresses growth of HER-2/neu-overexpressing breast cancer cells in athymic mice and sensitizes these cells to the inhibitory effect of paclitaxel," <i>Clin. Cancer Res.</i> , 5:343-353, 1999.
SWA	C9	Zhang <i>et al.</i> , "Tyrosine kinase inhibitors, emodin and its derivative repress HER-2/neu-induced cellular transformation and metastasis-associated properties," <i>Oncogene</i> , 16:2855-2863, 1998.

25389040.1

EXAMINER:



DATE CONSIDERED: 08/22/05

EXAMINER: INITIAL IF REFERENCE CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED. INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.